

# DN1- Know: workplace health and safety principles

*Reference information provided, in particular web links, was correct as of 14/10/2025.*

## Element 1: Health and safety information

Enforcement Management Model (EMM), HSE, [http://www.hse.gov.uk/foi/internalops/ocs/100-199/130\\_5/](http://www.hse.gov.uk/foi/internalops/ocs/100-199/130_5/)

Enforcement Policy Statement, HSE41, <http://www.hse.gov.uk/enforce/enforcepolicy.htm>

Guidance on the application of Fees for Intervention (FFI), HSE47, HSE Books, ISBN: 978-0-7176-6456-6, <http://www.hse.gov.uk/pubns/hse47.htm>

Health and Safety Offences, Corporate Manslaughter and Food Safety and Hygiene Offences, Definitive Guideline, <http://www.sentencingcouncil.org.uk/publications/item/health-and-safety-offences-corporate-manslaughter-and-food-safety-and-hygiene-offences-definitive-guideline/>

## Element 2: Positive health and safety culture

A review of safety culture and safety climate literature for the development of the safety culture inspection toolkit, RR367, HSE,

<https://webarchive.nationalarchives.gov.uk/ukgwa/20241206191527/https://www.hse.gov.uk/research/rrhtm/rr367.htm>

A review of the literature on effective leadership behaviours for safety, RR952, HSE,

<https://pdf4pro.com/amp/view/rr952-a-review-of-the-literature-on-effective-leadership-3d65b7.html>

Consulting workers on health and safety, Safety Representatives and Safety Committee Regulations 1977 (as amended) and Health and Safety (Consultation with Employees) Regulations 1996 (as amended), L146, HSE Books, ISBN: 978-0-7176-6461-0, <http://www.hse.gov.uk/pubns/priced/l146.pdf>

Involving your workforce in health and safety: Guidance for all workplaces, HSG263, HSE,

<https://www.hse.gov.uk/pubns/books/hsg263.htm>

ISO 45001:2018 Occupational health and safety management systems – Requirements with guidance for use, ISO, <https://www.iso.org/standard/63787.html>

Key Practical Issues in Strengthening Safety Culture, International Atomic Energy Agency (IAEA), <https://www.iaea.org/publications/6457/key-practical-issues-in-strengthening-safety-culture>

Leading Health and Safety at Work, INDG417(rev1), HSE, <https://www.hse.gov.uk/pubns/indg417.pdf>

Reducing error and influencing behaviour HSG48, HSE Books, ISBN: 978-0-717624-52-2,

<http://www.hse.gov.uk/pubns/books/hsg48.htm>

Safety Culture: A review of the literature, HSL,

[https://www.yumpu.com/en/document/view/5329522/hsl-2002-25-safety-culture-a-review-of-the-literature-hse#google\\_vignette](https://www.yumpu.com/en/document/view/5329522/hsl-2002-25-safety-culture-a-review-of-the-literature-hse#google_vignette)

Safety Culture Maturity Model, HSE, <https://www.hse.gov.uk/humanfactors/topics/culture.htm>

### HEALTH AND SAFETY INCIDENTS

Kegworth Air Disaster, <https://www.gov.uk/aaib-reports/4-1990-boeing-737-400-g-obme-8-january-1989>

Herald of Free Enterprise, <https://www.gov.uk/maib-reports/flooding-and-subsequent-capsize-of-ro-ro-passenger-ferry-herald-of-free-enterprise-off-the-port-of-zeebrugge-belgium-with-loss-of-193-lives>

Learning from the Piper Alpha Accident: A Postmortem Analysis of Technical and Organizational Factors,

<http://engineeringfailures.org/files/Learning%20from%20the%20Piper%20Alpha%20Accident.pdf>

Train accident at Ladbroke Grove Junction,

[http://www.railwaysarchive.co.uk/documents/HSE\\_Lad\\_IntRep003.pdf](http://www.railwaysarchive.co.uk/documents/HSE_Lad_IntRep003.pdf)

Three Mile Island Accident, <http://www.world-nuclear.org/information-library/safety-and-security/safety-of-plants/three-mile-island-accident.aspx>

## Element 3: Individual and organisational competence

Chainsaws at work, INDG317, HSE, <https://www.hse.gov.uk/pubns/indg317.pdf>

High Reliability Organisations – A review of literature, RR899, HSE,  
<https://webarchive.nationalarchives.gov.uk/ukgwa/20241208024510/https://www.hse.gov.uk/research/rrhtm/rr899.htm>

Measuring the Safety Climate in Organisations, HSE,  
[https://books.hse.gov.uk/gempdf/Measuring\\_the\\_Safety\\_Climate\\_in\\_Organisations\\_HSE\\_white\\_paper.pdf](https://books.hse.gov.uk/gempdf/Measuring_the_Safety_Climate_in_Organisations_HSE_white_paper.pdf)

Provision and Use of Work Equipment Regulations 1998 approved Code of Practice and guidance, L22, HSE, ISBN: 978 0 7176 6619 5, <https://www.hse.gov.uk/pubns/priced/l22.pdf>

Rider-operated lift trucks. Operator training and safe use, Approved Code of Practice L117, HSE, ISBN 978 0 7176 6441 2, <https://www.hse.gov.uk/pubns/priced/l117.pdf>

Safety in the use of abrasive wheels, Guidance Note HSG 17, HSE, ISBN 978 0 7176 1739 5,  
<https://www.hse.gov.uk/pubns/priced/hsg17.pdf>

Safe use of power presses - Provision and Use of Work Equipment Regulations 1998 approved Code of Practice and guidance, L122, HSE, ISBN: 978 0 7176 6620 1,  
<https://www.hse.gov.uk/pubns/priced/l112.pdf>

Safe use of woodworking machinery - Provision and Use of Work Equipment Regulations 1998 approved Code of Practice and guidance, L114, HSE, ISBN: 978 0 7176 6621 8,  
<https://www.hse.gov.uk/pubns/priced/l114.pdf>

## Element 4: Risk management

Guidance on Risk Management, Internal Control and Related Financial and Business Reporting, Financial Reporting Council, <https://www.frc.org.uk/getattachment/d672c107-b1fb-4051-84b0-f5b83a1b93f6/Guidance-on-Risk-Management-Internal-Control-and-Related-Reporting.pdf>

Manual handling - Manual Handling Operations Regulations 1992 – Guidance on Regulations, HSE, L23 ISBN 9780717666539, <https://www.hse.gov.uk/pubns/priced/l23.pdf>

Reducing risks, protecting people (R2P2), HSE, <https://www.hse.gov.uk/enforce/expert/index.htm>

## Element 5: Monitoring, review and auditing

Guidelines for auditing management systems, ISO 19011:2018,  
<https://www.iso.org/standard/70017.html>

Investigating accidents and incidents - a workbook for employers, unions, safety representatives and safety professionals (HSG245), ISBN 9780717628278, HSE Books

ISO 45001:2018 Occupational health and safety management systems — Requirements with guidance for use, <https://www.iso.org/standard/63787.html>

Reporting accidents and incidents at work, INDG453, <http://www.hse.gov.uk/pubns/indg453.htm>

## Element 6: Professional skills and ethics

Code of Conduct, IOSH, <https://www.iosh.co.uk/Membership/Become-a-member/Terms-and-conditions/Code-of-Conduct.aspx>

Code of Ethics, IIRSM, <https://www.iirsm.org/compliance/terms-and-conditions/membership-terms-and-conditions>

Business Re-engineering and Health and Safety Management - Best Practice Model, HSE, <https://www.hse.gov.uk/humanfactors/topics/orgchange.htm>

Assessing the Safety of Staffing Arrangements for Process Operations in the Chemical and Allied Industries, HSE, <https://www.hse.gov.uk/humanfactors/topics/staffing-levels.htm>

GDPR penalties, Information Commissioner's Office, <https://ico.org.uk/for-organisations/law-enforcement/guide-to-le-processing/penalties/>

Organisational change and major accidents, HSE, Chemical information sheet No CHIS7, <https://www.hse.gov.uk/pubns/chis7.pdf>

## Element 7: Policy strategy (including change)

Corporate Social Responsibility Guide, Croner, <https://croner.co.uk/resources/culture-performance/corporate-social-responsibility/>

Helping Great Britain Work Well, <https://www.unison.org.uk/content/uploads/2017/04/GoHS-HSE-Strategy-and-Work-Related-Stress.pdf>

Human factors: Organisational change, HSE, <https://www.hse.gov.uk/humanfactors/topics/orgchange.htm>

Kubler-Ross Change Curve™, Elisabeth Kubler-Ross Foundations, <https://www.ekrfoundation.org/5-stages-of-grief/change-curve/>

Labour Force Survey Annual Report Summary 2023, <https://www.gov.uk/government/statistics/labour-force-survey-annual-report-summary-2023>

Organisational change and transition management, HSE, <https://www.hse.gov.uk/humanfactors/topics/orgchange.htm>

## Element 8: Contribute to legal actions

Code of Conduct, IOSH, <https://www.iosh.co.uk/Membership/Become-a-member/Terms-and-conditions/Code-of-Conduct.aspx>

Code of Ethics, IIRSM, <https://www.iirsm.org/compliance/terms-and-conditions/membership-terms-and-conditions>

Coroners (Inquests) Rules 2013, <https://www.legislation.gov.uk/uksi/2013/1616/made>

Coroners, Crown Prosecution Service, <https://www.cps.gov.uk/legal-guidance/coroners>

Incident protocols and accident investigation: controlling litigation, Croner-I, <https://app.croneri.co.uk/feature-articles/incident-protocols-and-accident-investigation-controlling-litigation>

Insurance and health and safety, Association of British Insurers, <https://www.abi.org.uk/products-and-issues/choosing-the-right-insurance/business-insurance/liability-insurance/employers-liability-insurance/workplace-health-and-safety/>

Legal privilege, Lexology, <https://www.lexology.com/library/detail.aspx?g=2457d430-0285-49ba-9eaf-0a2e20791a32>

Pre-action protocol in personal injury cases, Law Society of Scotland, <https://www.lawscot.org.uk/members/journal/issues/vol-61-issue-09/courts-reform-a-call-to-pre-action/>

Pre-action protocol for personal injury claims, Ministry of Justice, [http://www.justice.gov.uk/courts/procedure-rules/civil/protocol/prot\\_pic](http://www.justice.gov.uk/courts/procedure-rules/civil/protocol/prot_pic)

## Element 9: Contractors and supply chains

Buyer's Guide for Obtaining Occupational Hygiene Services, BOHS,  
<https://www.bohs.org/app/uploads/2020/07/BOHS-Buyers-Guide-Sep-19.pdf>

Code of ethics, BOHS, <https://login.bohs.org/common/Uploaded%20files/Governance/AB.1-29-09-2020-BOHS-Code%20of%20Ethics.pdf>

Good practice guide for consultants, BOHS, <https://www.bohs.org/app/uploads/2021/03/Good-Practice-Guide-Version-2-rebranded-2021-1.pdf>

Managing contractors: A guide for employers, HSG159, HSE, ISBN 978 0 7176 6436 8  
<https://www.hse.gov.uk/pubns/priced/hsg159.pdf>

Modern Slavery, Gov.uk, <https://www.gov.uk/government/collections/modern-slavery>

Pas 91:2013 Construction prequalification questionnaires, BSI,  
<https://www.constructionline.co.uk/about/pas-91/>

Safety Schemes in Procurement (SSIP), <https://SSIP.org.uk/about-SSIP/>

Using contractors: A brief guide, INDG368, HSE, <https://www.hse.gov.uk/pubns/indg368.pdf>